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Sheet 1 of

APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. TECH CENTER 1600/2900 GNN-014CP		SERIAL NO. 097835,297	
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Bluestone, Jeffrey et al.		FILING DATE April 12, 2001	
				GROUP 1645			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,807,734	09/98	Diegel et al.	435	252.33	
	A2	5,962,320	10/99	Robinson	435	366	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A3	WO 99/57266 A2,A3	11/99	PCT			

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

	A4	Connelly, R.J. et al. "Mitogenic properties of a bispecific single-chain Fv-Ig fusion generated from CD2-specific mAb to distinct epitopes" <i>International Immunology</i> 10(12):1863-1872 (1998);
	A5	Gilliland, L.K. et al. "Rapid and reliable cloning of antibody variable regions and generation of recombinant single chain antibody fragments" <i>Tissue Antigens</i> 47:1-20 (1996);
	A6	Griffin, M.D. et al. "Inhibition of Alloantigen-Specific CD8+ T Cell Activation by a Surface-Linked Anti-CTLA-4 Single Chain Antibody" <i>J. Am. Soc. Nephrol.</i> 10:510A, Abstr. A2579 (1999);
	A7	Griffin, M.D. et al. "Comparative Analysis of the CD28 and 4-1BB Co-Stimulatory Pathways Using a Novel Artificial APC System" <i>J. Am. Soc. Nephrol.</i> 10:510A, Abstr. A2580 (1999);
	A8	Griffin, M.D. et al. "Blockade of T Cell Activation Using a Surface-Linked Single-Chain Antibody to CTLA-4 (CD152)" <i>The Journal of Immunology</i> 164:4433-4442 (2000);
	A9	Hayden, M.S. et al. "Single-chain mono- and bispecific antibody derivatives with novel biological properties and antitumour activity from a COS cell transient expression system" <i>Therapeutic Immunology</i> 1:3-15 (1994);
	A10	Hayden, M.S. et al. "Costimulation by CD28 sFv expressed on the tumor cell surface or as a soluble bispecific molecule targeted to the L6 carcinoma antigen" <i>Tissue Antigens</i> 48:242-254 (1996);
	A11	Hayden, M.S. et al. "Antibody engineering" <i>Current Opinion in Immunology</i> 9:201-212 (1997);
	A12	Malde, P. et al. "Reversal of costimulation by cell surface expression of an anti-CD152 single chain antibody" <i>Immunol.</i> 98(supp.1):17 (1999);
	A13	Winberg, G. et al. "Surface Expression of CD28 Single Chain Fv for Costimulation by Tumor Cells" <i>Immunologic Reviews</i> 153:210-223 (1996).

Examiner

Date Considered

*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Bluestone, Jeffrey et al.

Serial No.: 09/835,297

Filed: April 12, 2001

For: SURFACE-BOUND ANTIGEN BINDING
PORTIONS OF ANTIBODIES THAT BIND TO
CTLA-4 AND CD28 AND USES THEREFOR

Attorney Docket No.: GNN-014CP



Group Art Unit: 1645

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Commissioner for Patents
Washington, D.C. 20231

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April 26, 2002

Date of Signature and of Mail Deposit

By:

Megan E. Williams, Esq.

Registration No. 43,270

Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

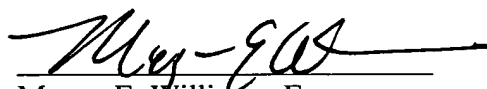
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relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,
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Date: April 26 , 2002

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Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/835,297
For: SURFACE-BOUND ANTIGEN BINDING PORTIONS OF ANTIBODIES
THAT BIND TO CTLA-4 AND CD28 AND USES THEREFOR
Inventors: Bluestone, Jeffrey et al.
Filed: April 12, 2001
Our Ref. No.: GNN-014CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (12); and
4. A Return Postcard.

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No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231 on:

April 26, 2002
Date
Megan E. Williams, Esq.
Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

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